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JFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Rajeev A. JAIN et al.

Title: CONTROLLED RELEASE  
NANOPARTICULATE COMPOSITIONS

Appl. No.: 09/337,675

Filing Date: 6/22/1999

Examiner: Susan T. Tran

Art Unit: 1615

Confirmation Number:  
9275

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of any of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

**RELEVANCE OF EACH DOCUMENT**

Attached to the PTO/SB/08 are copies of Office Actions cited by the Examiners in related U.S. Patent Application Nos. 11/928,278, 11/928,250, 11/928,289, 10/667,470, 12/870,722, 12/870,745, 12/076,247, 12/320,431, as well as references cited in Notice of Reasons for Rejection(s) for related Japanese Patent Application Nos. JP 2003-577857, the references cited in an International Search Report and/or Written Opinion from related to International Patent Application No. PCT/US2009/036965, an Office Action cited in related Canadian Patent Application No. 2,479,665, and the Decision to Grant cited in related Japanese Patent Application No. 2003-565446. All of the references cited in these documents are listed and/or attached, unless they were already cited in the present application.

Please note that in the Canadian Office Action listed above, the U.S. equivalent to EP 0 499 299, i.e., U.S. Patent No. 5, 145,684, was already cited in the present application, so therefore, EP 0 499 299 is not listed on the attached PTO/SB/08.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**FEE**

A credit card payment form in the amount of \$180.00 is enclosed to cover the fee associated with an information disclosure statement under 37 CFR §1.97(c).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment,

to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By Michele M. Simkin

Date: November 8, 2010

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